

History, Humanities Languages
Baseline Standards
FY 2022

Description of Responsibility		Responsible Person(s) (Alicia Allen-Whetstone/DBA I)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Alicia Allen, HHL Dept. Business Admin I	Myisha Williams, HHL Administrative Assistant
2	Updating the Baseline Standards Form.	Alicia Allen, HHL Dept. Business Admin I	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Myisha Williams, HHL Administrative Assistant	Alicia Allen, HHL Dept. Business Admin I
2	Reviewing cost center verifications.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
3	Approving cost center verifications.	Jeffrey Jackson, HHL Dept Chair	
4	Ensuring all cost centers are verified/approved on a timely basis. no later than 60 days after the accounting period is closed.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
5	Identifies non-active non-project/grant cost centers and notify the appropriate department to deactivate the cost center.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Alicia Allen, HHL Dept. Business Admin I	
2	Ensuring the validity of travel and expense reimbursements.	Alicia Allen, HHL Dept. Business Admin I	
3	Ensuring that goods and services are received and that timely payment is made.	Alicia Allen, HHL Dept. Business Admin I	Myisha Williams, HHL Administrative Assistant
4	Ensuring correct account coding on purchases documents.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
5	Primary contact for inquiries to expenditure transactions.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Alicia Allen, HHL Dept. Business Admin I	Fallon Noel, Department Business Administrator I
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Alicia Allen, HHL Dept. Business Admin I	
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Alicia Allen, HHL Dept. Business Admin I	
4	Completing termination clearance procedures.	Alicia Allen, HHL Dept. Business Admin I	
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Alicia Allen, HHL Dept. Business Admin I	
6	Maintaining departmental Personnel files.	Myisha Williams, HHL Administrative Assistant	Alicia Allen, HHL Dept. Business Admin I
7	Ensuring valid authorization of new hires.	Alicia Allen, HHL Dept. Business Admin I	
8	Ensuring valid authorization of changes in compensation rates.	Alicia Allen, HHL Dept. Business Admin I	
9	Ensuring the accurate input of changes to the HR System.	Alicia Allen, HHL Dept. Business Admin I	
10	Consistent and efficient responses to inquiries.	Alicia Allen, HHL Dept. Business Admin I	

History, Humanities Languages
Baseline Standards
FY 2022

Description of Responsibility		Responsible Person(s) (Alicia Allen-Whetstone/DBA I)	
		Primary (Required)	Secondary (Optional)
CASH HANDLING			
1	Collecting cash, checks, etc.	Myisha Williams, HHL Administrative Assistant	Katherine Alemanalaniz, AC Administrative Assistant II
2	Prepares Departmental Deposit Form.	Myisha Williams, HHL Administrative Assistant	Katherine Alemanalaniz, AC Administrative Assistant II
3	Approves Departmental Deposit Form.	Myisha Williams, HHL Administrative Assistant	Katherine Alemanalaniz, AC Administrative Assistant II
4	Transports deposit to the Cashiers Office.	Myisha Williams, HHL Administrative Assistant	Katherine Alemanalaniz, AC Administrative Assistant II
5	Preparing Journal Entries.	Cashier's Office	
6	Verifying deposits posted correctly in the Finance System.	Alicia Allen, HHL Dept. Business Admin I	Jeffrey Jackson, HHL Dept Chair
7	Adequacy of physical safeguards of cash receipts and equivalent.	Myisha Williams, HHL Administrative Assistant	Katherine Alemanalaniz, AC Administrative Assistant II
8	Ensuring deposits are made timely.	Myisha Williams, HHL Administrative Assistant	Katherine Alemanalaniz, AC Administrative Assistant II
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Alicia Allen, HHL Dept. Business Admin I	Jeffrey Jackson, HHL Dept Chair
10	Updating Cash Handling Procedures as needed.	Alicia Allen, HHL Dept. Business Admin I	Jeffrey Jackson, HHL Dept Chair
11	Consistent and efficient responses to inquiries.	Alicia Allen, HHL Dept. Business Admin I	Jeffrey Jackson, HHL Dept Chair
PETTY CASH			
1	Preparing petty cash disbursements.	N/A	
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	
4	Approving petty cash disbursements.	N/A	
5	Replenishing the petty cash fund timely.	N/A	
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Myisha Williams, HHL Administrative Assistant	Alicia Allen, HHL Dept. Business Admin I
2	Ensuring the annual inventory was completed correctly.	Myisha Williams, HHL Administrative Assistant	Alicia Allen, HHL Dept. Business Admin I
3	Tagging equipment.	UHD IT	
4	Approving requests for removal of equipment from campus.	Myisha Williams, HHL Administrative Assistant	Alicia Allen, HHL Dept. Business Admin I
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Jeffrey Jackson, HHL Dept Chair	Alicia Allen, HHL Dept. Business Admin I
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Jeffrey Jackson, HHL Dept Chair	Alicia Allen, HHL Dept. Business Admin I
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Office of Research and Sponsored Programs	Jeffrey Jackson, HHL Dept Chair	Alicia Allen, HHL Dept. Business Admin I
RESEARCH ADMINISTRATION			
1	Verifies that all grant cost centers contain positive budgetary balances.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
2	Ensuring that research expenditures are covered by funds from sponsors.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II

History, Humanities Languages
Baseline Standards
FY 2022

Description of Responsibility		Responsible Person(s) (Alicia Allen-Whetstone/DBA I)	
		Primary (Required)	Secondary (Optional)
3	Notifies the appropriate department to deactivate expired grant cost centers.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
4	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
5	Verifies that effort reporting is accurately calculated (verifies actual time) and timely reported (quarterly).	Alicia Allen, HHL Dept. Business Admin I	
6	Verifies that a Conflict of Interest Form is completed annually for all applicable employees; and submitted timely to the Division of Research.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
SCHOLARSHIPS			
1	Prepares written department scholarship procedures (awarding, criteria, eligibility guidelines, etc...).	Scholarship Committee	
2	Verifies that all available department scholarships are publicized (web site, in department, etc...).	Scholarship Committee	
3	Verifies that the scholarship award recipient was eligible for the award and met all required criteria (<i>GPA, major, requisite hours, letters of recommendation, etc...</i>).	Scholarship Committee	
4	Verifies that the scholarship award recipient was eligible for the award and met all required criteria (<i>GPA, major, requisite hours, letters of recommendation, etc...</i>).	same as above	
5	Reviews scholarship cost centers annually to help ensure that available scholarship funds are being awarded; and to identify excessive equity balances in scholarship cost centers.	Shandra Robertson, CHSS College Business Admin II	
NEGATIVE BALANCES			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
2	Ensuring that research expenditures are covered by funds from sponsors.	Alicia Allen, HHL Dept. Business Admin I	Shandra Robertson, CHSS College Business Admin II
DEPARTMENTAL COMPUTING			
1	Management of the departments' information technology resources.	UHD IT	
2	Ensuring that critical data back up occurs.	UHD IT	
3	Ensuring that procedures such as password controls are followed.	All Employees	
4	Reporting of suspected security violations.	Myisha Williams, HHL Administrative Assistant	Alicia Allen, HHL Dept. Business Admin I