

CCESL/QEP
Baseline Standards
FY 2022

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
DEPARTMENTAL POLICIES & PROCEDURES / BASELINE STANDARDS			
1	Ensuring the Departmental Policy and Procedures manual is current.	Kevin Dorsey - Manager Financial Affairs	
2	Updating the Baseline Standards Form.	Rosmery Perez, Department Business Administrator	
FINANCIAL REPORTING - COST CENTER VERIFICATIONS			
1	Preparing cost center verifications.	Rosmery Perez, Department Business Administrator	
2	Reviewing cost center verifications.	Kevin Dorsey - Manager Financial Affairs	
3	Approving cost center verifications.	Michelle Moosally, Associate Vice President for Programming and Curriculum	
4	Ensuring all cost centers are verified/approved on a timely basis. no later than 60 days after the accounting period is closed.	Kevin Dorsey - Manager Financial Affairs	
5	Identifies non-active non-project/grant cost centers and notify the appropriate department to deactivate the cost center.	Rosmery Perez, Department Business Administrator	
FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS			
1	Ensuring valid authorization of purchase documents.	Kevin Dorsey - Manager Financial Affairs	Michelle Moosally, Associate Vice President for Programming and
2	Ensuring the validity of travel and expense reimbursements.	Kevin Dorsey - Manager Financial Affairs	Michelle Moosally, Associate Vice President for Programming and
3	Ensuring that goods and services are received and that timely payment is made.	Kevin Dorsey - Manager Financial Affairs	Michelle Moosally, Associate Vice President for Programming and
4	Ensuring correct account coding on purchases documents.	Kevin Dorsey - Manager Financial Affairs	Michelle Moosally, Associate Vice President for Programming and
5	Primary contact for inquiries to expenditure transactions.	Kevin Dorsey - Manager Financial Affairs	Michelle Moosally, Associate Vice President for Programming and
PAYROLL / HUMAN RESOURCES			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Bobbi Shaw, Admin Assistant I	
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Bobbi Shaw, Admin Assistant I	
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Rosmery Perez, Department Business Administrator	
4	Completing termination clearance procedures.	Rosmery Perez, Department Business Administrator	
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Rosmery Perez, Department Business Administrator	
6	Maintaining departmental Personnel files.	Bobbi Shaw, Admin Assistant I	
7	Ensuring valid authorization of new hires.	Bobbi Shaw, Admin Assistant I	Rosmery Perez, Department Business Administrator
8	Ensuring valid authorization of changes in compensation rates.	Rosmery Perez, Department Business Administrator	
9	Ensuring the accurate input of changes to the HR System.	Rosmery Perez, Department Business Administrator	
10	Consistent and efficient responses to inquiries.	Bobbi Shaw, Admin Assistant I	Rosmery Perez, Department Business Administrator

CCESL/QEP
Baseline Standards
FY 2022

	Description of Responsibility	Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
CASH HANDLING			
1	Collecting cash, checks, etc.	Bobbi Shaw, Admin Assistant I	
2	Prepares Departmental Deposit Form.	Bobbi Shaw, Admin Assistant I	
3	Approves Departmental Deposit Form.	Michelle Moosally, Associate Vice President for Programming	
4	Transports deposit to the Cashiers Office.	Rosmery Perez, Department Business Administrator	
5	Preparing Journal Entries.	Rosmery Perez, Department Business Administrator	
6	Verifying deposits posted correctly in the Finance System.	Rosmery Perez, Department Business Administrator	
7	Adequacy of physical safeguards of cash receipts and equivalent.	Rosmery Perez, Department Business Administrator	
8	Ensuring deposits are made timely.	Michelle Moosally, Associate Vice President for Programming	
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Michelle Moosally, Associate Vice President for Programming and Curriculum	Kevin Dorsey - Manager Financial Affairs
10	Updating Cash Handling Procedures as needed.	Rosmery Perez, Department Business Administrator	
11	Consistent and efficient responses to inquiries.	Rosmery Perez, Department Business Administrator	
PETTY CASH			
1	Preparing petty cash disbursements.	NA	
2	Ensuring petty cash disbursements are not for more than \$100.	NA	
3	Ensuring petty cash disbursements are made for only authorized purposes.	NA	
4	Approving petty cash disbursements.	NA	
5	Replenishing the petty cash fund timely.	NA	
6	Ensuring the petty cash fund is balanced after each disbursement.	NA	
CONTRACT ADMINISTRATION			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Michelle Moosally, Associate Vice President for Programming and Curriculum	Kevin Dorsey - Manager Financial Affairs
PROPERTY MANAGEMENT			
1	Performing the annual inventory.	Bobbi Shaw, Admin Assistant I	
2	Ensuring the annual inventory was completed correctly.	Rosmery Perez, Department Business Administrator	
3	Tagging equipment.	Information Technology	
4	Approving requests for removal of equipment from campus.	Michelle Moosally, Associate Vice President for Programming and Curriculum	
DISCLOSURE FORMS			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Rosmery Perez, Department Business Administrator	
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Rosmery Perez, Department Business Administrator	
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Office of Research and Sponsored Programs	Rosmery Perez, Department Business Administrator	
RESEARCH ADMINISTRATION			
1	Verifies that all grant cost centers contain positive budgetary balances.	NA	

CCESL/QEP
Baseline Standards
FY 2022

Description of Responsibility	Responsible Person(s) (Name/Title)	
	Primary (Required)	Secondary (Optional)
2 Ensuring that research expenditures are covered by funds from sponsors.	NA	
3 Notifies the appropriate department to deactivate expired grant cost centers.	NA	
4 Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	NA	
5 Verifies that effort reporting is accurately calculated (verifies actual time) and timely reported (quarterly).	NA	
6 Verifies that a Conflict of Interest Form is completed annually for all applicable employees; and submitted timely to the Division of Research.	NA	
SCHOLARSHIPS		
1 Prepares written department scholarship procedures (awarding, criteria, eligibility guidelines, etc...).	NA	
2 Verifies that all available department scholarships are publicized (web site, in department, etc...).	NA	
3 Verifies that the scholarship award recipient was eligible for the award and met all required criteria (<i>GPA, major, requisite hours, letters of recommendation, etc...</i>).	NA	
4 Verifies that the scholarship award recipient was eligible for the award and met all required criteria (<i>GPA, major, requisite hours, letters of recommendation, etc...</i>).	NA	
5 Reviews scholarship cost centers annually to help ensure that available scholarship funds are being awarded; and to identify excessive equity balances in scholarship cost centers.	NA	
NEGATIVE BALANCES		
1 Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	Rosmery Perez, Department Business Administrator	
2 Ensuring that research expenditures are covered by funds from sponsors.	Rosmery Perez, Department Business Administrator	
DEPARTMENTAL COMPUTING		
1 Management of the departments' information technology resources.	Information Technology	
2 Ensuring that critical data back up occurs.	Information Technology	
3 Ensuring that procedures such as password controls are followed.	All Employees	
4 Reporting of suspected security violations.	All Employees	