

Budget, Procurement Contracts (D40-D42)  
Baseline Standards  
FY 2022

Description of Responsibility		Responsible Person(s) (Name/Title)	
		Primary (Required)	Secondary (Optional)
<b>DEPARTMENTAL POLICIES &amp; PROCEDURES / BASELINE STANDARDS</b>			
1	Ensuring the Departmental Policy and Procedures manual is current.	Lorena Sanchez - Manager; Procurement & HUB Program	Preston Heng - Interim Director; Budget, Procurement & Contracts
2	Updating the Baseline Standards Form.	Estefani Castillo - Business/Purchasing Coordinator	Preston Heng - Interim Director; Budget, Procurement & Contracts
<b>FINANCIAL REPORTING - COST CENTER VERIFICATIONS</b>			
1	Preparing cost center verifications.	Erika Ventura - Budget Analyst II	Jessica Magpantay - Budget Analyst I/ Juan Ibarra - Budget Analyst II
2	Reviewing cost center verifications.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Lorena Sanchez - Manager, Procurement & HUB Program (D0042 Cost Centers); Preston Heng - Interim Director; Budget, Procurement & Contracts (D40/D41 Cost Centers)
3	Approving cost center verifications.	Erika Ventura - Budget Analyst II	Jessica Magpantay - Budget Analyst I/ Juan Ibarra - Budget Analyst II
4	Ensuring all cost centers are verified/approved on a timely basis. no later than 60 days after the accounting period is closed.	Erika Ventura - Budget Analyst II	Jessica Magpantay - Budget Analyst I/ Juan Ibarra - Budget Analyst II
5	Identifies non-active non-project/grant cost centers and notify the appropriate department to deactivate the cost center.	Erika Ventura - Budget Analyst II	Jessica Magpantay - Budget Analyst I/ Juan Ibarra - Budget Analyst II
<b>FINANCIAL REPORTING - EXPENDITURE TRANSACTIONS</b>			
1	Ensuring valid authorization of purchase documents.	Lorena Sanchez - Manager; Procurement & HUB Program (Vouchers/Travel)/Preston Heng - Interim Director; Budget, Procurement & Contracts (Requisitions)	Preston Heng - Interim Director; Budget, Procurement & Contracts
2	Ensuring the validity of travel and expense reimbursements.	Lorena Sanchez - Manager, Procurement & HUB Program	Preston Heng - Interim Director; Budget, Procurement & Contracts
3	Ensuring that goods and services are received and that timely payment is made.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
4	Ensuring correct account coding on purchases documents.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
5	Primary contact for inquiries to expenditure transactions.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
<b>PAYROLL / HUMAN RESOURCES</b>			
1	Ensuring all bi-weekly reported time and leave are approved before the deadlines set by Payroll, so that the correct hours are recorded and paid on each bi-weekly paycheck.	Preston Heng - Interim Director; Budget, Procurement & Contracts/Lorena Sanchez - Manager, Procurement & HUB Program	Lorena Sanchez - Manager, Procurement & HUB Program/Preston Heng - Interim Director; Budget, Procurement & Contracts
2	Ensuring all monthly leave is recorded and approved before the deadlines set by Payroll.	Erika Ventura - Budget Analyst II/Evelyn Paige - Purchasing Coordinator II	Preston Heng - Interim Director; Budget, Procurement & Contracts/Lorena Sanchez - Manager, Procurement & HUB Program
3	Reconciling approved reported time and leave (bi-weekly employees) and ePARs (monthly employees) to the trial and final payroll verification reports.	Erika Ventura - Budget Analyst II/Evelyn Paige - Purchasing Coordinator II (monthly)/Juan Ibarra - Budget Analyst II (bi- weekly)	Preston Heng - Interim Director; Budget, Procurement & Contracts/Lorena Sanchez - Manager; Procurement & HUB Program (monthly)/Jessica Magpantay - Budget Analyst I (bi-weekly)
4	Completing termination clearance procedures.	Estefani Castillo - Business/Purchasing Coordinator	Preston Heng - Interim Director; Budget, Procurement & Contracts
5	Ensuring terminated employees are no longer charged to departmental cost centers.	Erika Ventura - Budget Analyst II	Jessica Magpantay - Budget Analyst I/ Preston Heng - Interim Director; Budget, Procurement & Contracts
6	Maintaining departmental Personnel files.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Lorena Sanchez - Manager; Procurement & HUB Program

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7	Ensuring valid authorization of new hires.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Erika Ventura - Budget Analyst II
8	Ensuring valid authorization of changes in compensation rates.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Erika Ventura - Budget Analyst II
9	Ensuring the accurate input of changes to the HR System.	Erika Ventura - Budget Analyst II	Preston Heng - Interim Director; Budget, Procurement & Contracts
10	Consistent and efficient responses to inquiries.	Erika Ventura - Budget Analyst II	Jessica Magpantay - Budget Analyst I/Preston Heng - Interim Director; Budget, Procurement & Contracts

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<b>CASH HANDLING</b>			
1	Collecting cash, checks, etc.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
2	Prepares Departmental Deposit Form.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
3	Approves Departmental Deposit Form.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Lorena Sanchez - Manager; Procurement & HUB Program
4	Transports deposit to the Cashiers Office.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
5	Preparing Journal Entries.	N/A	N/A
6	Verifying deposits posted correctly in the Finance System.	Jessica Magpantay - Budget Analyst I	Erika Ventura - Budget Analyst II/Preston Heng - Interim Director; Budget, Procurement & Contracts
7	Adequacy of physical safeguards of cash receipts and equivalent.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
8	Ensuring deposits are made timely.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
9	Ensuring all employees who handle cash have completed Cash Security Procedures or Cash Deposit and Security Procedures training.	Lorena Sanchez - Manager; Procurement & HUB Program	N/A
10	Updating Cash Handling Procedures as needed.	Estefani Castillo - Business/Purchasing Coordinator	Evelyn Paige - Purchasing Coordinator II
11	Consistent and efficient responses to inquiries.	Erika Ventura - Budget Analyst II	Erika Ventura - Budget Analyst II/Preston Heng - Interim Director; Budget, Procurement & Contracts
<b>PETTY CASH</b>			
1	Preparing petty cash disbursements.	N/A	N/A
2	Ensuring petty cash disbursements are not for more than \$100.	N/A	N/A
3	Ensuring petty cash disbursements are made for only authorized purposes.	N/A	N/A
4	Approving petty cash disbursements.	N/A	N/A
5	Replenishing the petty cash fund timely.	N/A	N/A
6	Ensuring the petty cash fund is balanced after each disbursement.	N/A	N/A
<b>CONTRACT ADMINISTRATION</b>			
1	Ensuring departmental personnel comply with contract administration policies/procedures.	Lorena Sanchez - Manager; Procurement & HUB Program	Evelyn Paige - Purchasing Coordinator II
<b>PROPERTY MANAGEMENT</b>			
1	Performing the annual inventory.	Estefani Castillo - Business/Purchasing Coordinator	Erika Ventura - Budget Analyst II/Preston Heng - Interim Director; Budget, Procurement & Contracts
2	Ensuring the annual inventory was completed correctly.	Estefani Castillo - Business/Purchasing Coordinator	Erika Ventura - Budget Analyst II/Preston Heng - Interim Director; Budget, Procurement & Contracts
3	Tagging equipment.	Information Technology	N/A
4	Approving requests for removal of equipment from campus.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Lorena Sanchez - Manager; Procurement & HUB Program
<b>DISCLOSURE FORMS</b>			
1	Ensuring all employees with purchasing influence complete the annual Related Party disclosure statement online.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Lorena Sanchez - Manager; Procurement & HUB Program
2	Ensuring all full time, benefits eligible, exempt faculty and staff complete the Consulting disclosure statement online.	Preston Heng - Interim Director; Budget, Procurement & Contracts	Lorena Sanchez - Manager; Procurement & HUB Program
3	Ensuring that all Principal and Co-Principal Investigators complete the annual Conflict of Interest disclosure statement for the Office of Research and Sponsored Programs	N/A	N/A
<b>RESEARCH ADMINISTRATION</b>			

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1	Verifies that all grant cost centers contain positive budgetary balances.	N/A	N/A
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	N/A
3	Notifies the appropriate department to deactivate expired grant cost centers.	N/A	N/A
4	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	N/A	N/A
5	Verifies that effort reporting is accurately calculated (verifies actual time) and timely reported (quarterly).	N/A	N/A
6	Verifies that a Conflict of Interest Form is completed annually for all applicable employees; and submitted timely to the Division of Research.	N/A	N/A
<b>SCHOLARSHIPS</b>			
1	Prepares written department scholarship procedures (awarding, criteria, eligibility guidelines, etc...).	N/A	N/A
2	Verifies that all available department scholarships are publicized (web site, in department, etc...).	N/A	N/A
3	Verifies that the scholarship award recipient was eligible for the award and met all required criteria ( <i>GPA, major, requisite hours, letters of recommendation, etc...</i> ).	N/A	N/A
4	Verifies that the scholarship award recipient was eligible for the award and met all required criteria ( <i>GPA, major, requisite hours, letters of recommendation, etc...</i> ).	N/A	N/A
5	Reviews scholarship cost centers annually to help ensure that available scholarship funds are being awarded; and to identify excessive equity balances in scholarship cost centers.	N/A	N/A
<b>NEGATIVE BALANCES</b>			
1	Ensuring that all fund groups for each Dept ID have positive fund equity at year-end.	N/A	N/A
2	Ensuring that research expenditures are covered by funds from sponsors.	N/A	N/A
<b>DEPARTMENTAL COMPUTING</b>			
1	Management of the departments' information technology resources.	Information Technology	N/A
2	Ensuring that critical data back up occurs.	Information Technology	N/A
3	Ensuring that procedures such as password controls are followed.	All Staff	N/A
4	Reporting of suspected security violations.	All Staff	N/A