

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2015-2017
FY 2014 AUDITS IN PROGRESS AT YEAR-END**

<u>AUDIT ACTIVITY</u>	<u>Budget Hours</u>
<u>Projects Initiated During FY 2014, But Not Completed</u>	548
Board of Regents Travel, FY 2014	
Chancellor/President's Travel, FY 2014	
Continuing Education (UH)	
Departmental Reviews	
UH Administration & Finance	
UH Liberal Arts & Social Sciences	
UH Student Affairs & Enrollment Services	
Facilities Planning & Construction	
Financial Aid, Designated Tuition Set Aside (UH)	
Student Accounting & Receivables	

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2015-2017
SCHEDULED AUDITS - FY 2015**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>ANNUAL ASSISTANCE / MANDATES (4,900 hours)</u>	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel, FY 2015	200
Chancellor/President's Travel, FY 2015	200
Construction Procurement Process	100
Follow-up Reviews	500
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>SYSTEM-WIDE AUDITS (3,100)</u>	
Endowments - UH Honors College	250
Endowments - UH Pharmacy	250
Endowments - UH Technology	250
Endowments (UHV)	250
Formula Funding (UHCL, UHD, and UHV)	900
General Accounting	1,200
<u>DEPARTMENTAL REVIEWS (3,450 hours)</u>	
UH Architecture	200
UH Athletics	250
UHS/UH Chancellor/President	200
UH Optometry	700
UHCL Administration & Finance	500
UHCL Business	200
UHCL Human Sciences & Humanities	200
UHCL Science and Computer Engineering	200
UHV Administration & Finance	450
UHV Provost	550
<u>INFORMATION TECHNOLOGY (1,900 hours)</u>	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	500
TAC 202, Information Security Standards (UHCL, UHD, and UHV)	900
<u>QUALITY ASSURANCE REVIEWS (200 hours)</u>	
Internal Quality Assurance Review	100
External Quality Assurance Review	100
<u>INITIATED DURING FY 2014 - TO BE COMPLETED/REPORTED IN FY 2015</u>	<u>548</u>
Total Hours Scheduled for Fiscal Year 2015	<u>14,098</u>

**UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2015-2017
SCHEDULED AUDITS - FY 2016**

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>ANNUAL ASSISTANCE / MANDATES (5,300 hours)</u>	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Athletics - NCAA Rules-Compliance	400
Board of Regents Travel, FY 2016	200
Chancellor/President's Travel, FY 2016	200
Construction Procurement Process	100
Follow-up Reviews	500
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Regional Accreditation Review - SACS (UHD)	400
Audit Assistance - General	100
Follow-up Reports	100
<u>SYSTEM-WIDE AUDITS (3,100)</u>	
Endowments - UH Liberal Arts and Social Sciences	500
Endowments - UH University Advancement	200
Facilities Management	1,200
Student Housing (UH & UHV)	1,200
<u>DEPARTMENTAL REVIEWS (3,700 hours)</u>	
UH Academic Affairs/Provost	750
UH Education	300
UH Graduate College of Social Work	250
UH Hotel and Restaurant Management	400
UHCL Provost's Office	700
UHD Academic & Student Affairs	700
UHD University College	250
UHV President's Office	350
<u>INFORMATION TECHNOLOGY (1,400 hours)</u>	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	500
TAC 202, Information Security Standards (UH)	400
<u>RESEARCH CENTERS (350 hours)</u>	
UH Center for Advanced Computing & Data Systems, Follow-up	350
<u>INITIATED DURING FY 2015 - TO BE COMPLETED/REPORTED IN FY 2016</u>	
	248
Total Hours Scheduled for Fiscal Year 2016	<u>14,098</u>

UNIVERSITY OF HOUSTON SYSTEM
INTERNAL AUDITING DEPARTMENT
INTERNAL AUDIT PLAN, FY 2015-2017
SCHEDULED AUDITS - FY 2017

<u>AUDIT ACTIVITY</u>	<u>BUDGET HOURS</u>
<u>ANNUAL ASSISTANCE / MANDATES (4,500 hours)</u>	
Annual External Audits - Liaison	100
Annual Procurement Report	100
Athletics - Football Attendance Audit	100
Board of Regents Travel, FY 2017	200
Chancellor/President's Travel, FY 2017	200
Construction Procurement Process	100
Follow-up Reviews	500
Special Projects/Police Investigations	3,000
State Auditor's Office Liaison	
Audit Assistance - General	100
Follow-up Reports	100
<u>SYSTEM-WIDE AUDITS (4,100)</u>	
Academic Fees (UH)	300
Budgeting	500
Financial Aid, Non-Title IV	900
Human Resources	1,200
Payroll	1,200
<u>DEPARTMENTAL REVIEWS (2,900 hours)</u>	
UH University Advancement	250
UHCL Education	250
UHCL President's Office	200
UHD Administration & Finance	700
UHD Advancement & External Relations	200
UHD Employment Services & Opearations	200
UHD President's Office	200
UHD Public Service	300
UHV Arts & Sciences	200
UHV Business Administration	200
UHV Education	200
<u>INFORMATION TECHNOLOGY (1,900 hours)</u>	
Computer Assisted Auditing Techniques	500
IT - Review and Monitor of IT Systems (High Priority Projects)	500
TAC 202, Information Technology Standards (UHCL, UHD, and UHV)	900
<u>INITIATED DURING FY 2016 - TO BE COMPLETED/REPORTED IN FY 2017</u>	698
Total Hours Scheduled for Fiscal Year 2017	<u>14,098</u>